

Shropshire Council  
Legal and Democratic Services  
Shirehall  
Abbey Foregate  
Shrewsbury  
SY2 6ND

Date: 17 February 2020  
My Ref:  
Your Ref:

**Committee:**  
**Audit Committee**

**Date:** Tuesday, 25 February 2020  
**Time:** 1.30 pm  
**Venue:** Shrewsbury Room, Shirehall, Abbey Foregate, Shrewsbury,  
Shropshire, SY2 6ND

You are requested to attend the above meeting.  
The Agenda is attached

Claire Porter  
Director of Legal and Democratic Services (Monitoring Officer)

**Members of Audit Committee**

Peter Adams (Chairman)  
Ioan Jones  
Chris Mellings

Brian Williams (Vice Chairman)  
Michael Wood

Your Committee Officer is:

**Michelle Dulson** Committee Officer  
Tel: 01743 257719  
Email: [michelle.dulson@shropshire.gov.uk](mailto:michelle.dulson@shropshire.gov.uk)

# AGENDA

## **1 Apologies for Absence / Notification of Substitutes**

## **2 Disclosable Pecuniary Interests**

Members are reminded that they must not participate in the discussion or voting on any matter in which they have a Disclosable Pecuniary Interest and should leave the room prior to the commencement of the debate.

## **3 Minutes of the previous meeting held on the 5 December 2019 (Pages 1 - 8)**

The Minutes of the meeting held on the 5 December 2019 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

## **4 Public Questions**

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for this meeting is 1.30pm on Friday 21 February 2020.

## **5 First Line Assurance: Children's Direct Payments Update (Pages 9 - 12)**

The report of the Interim Assistant Director Children's Social Care and Safeguarding is attached.

Contact: Sonya Miller 01743 254264

## **6 Second Line Assurance: Treasury Strategy (Pages 13 - 64)**

The report of the Director of Finance, Governance and Assurance (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

## **7 Second Line Assurance: Strategic Risks Update (Pages 65 - 68)**

The report of the Risk and Insurance Manager is attached.

Contact: Angela Beechey (01743) 252073

## **8 Governance Assurance: Draft Audit Committee Annual Work Plan and Future Training Requirements (Pages 69 - 96)**

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

## **9 Third Line Assurance: Report of the Audit Review of Risk Management (Pages 97 - 100)**

The report of the Principal Auditor is attached.

Contact: Katie Williams (01743) 257737

**10 Third Line Assurance: Internal Audit Performance Report and Revised Annual Audit Plan 2019/20 (Pages 101 - 116)**

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

**11 Third Line Assurance: Draft Annual Internal Audit Risk Based Plan 2020/21 (Pages 117 - 128)**

The report of the Head of Audit is attached.

Contact: Ceri Pilawski (01743) 257739

**12 Third Line Assurance: External Audit, Audit Progress Report and Sector Update**

The report of the Engagement Lead is to follow.

Contact: Richard Percival (0121) 232 5434

**13 Third Line Assurance: External Audit, Audit Plan**

The report of the Engagement Lead is to follow.

Contact: Richard Percival (0121) 232 5434

**14 Third Line Assurance: External Audit, Informing the Risk Assessment**

The report of the Engagement Lead is to follow.

Contact: Richard Percival (0121) 232 5434

**15 Date and Time of Next Meeting**

The next meeting of the Audit Committee will be held on the Thursday 25 June 2020 at 1.30 pm.

**16 Exclusion of Press and Public**

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following item(s).

**17 Exempt Minutes of the previous meeting held on the 5 December 2019 (Exempted by Categories 1, 2, 3, 5 and 7) (Pages 129 - 132)**

The exempt minutes of the meeting held on the 5 December 2019 are attached for confirmation.

Contact Michelle Dulson (01743) 257719

**18 First Line Assurance: Highways Term Maintenance Update (Exempted by**

**Category 5)**

The report of the Director of Place and Enterprise is to follow.

Contact: Mark Barrow 01743 258919

**19 Internal Audit: Fraud, Special Investigation and RIPA Update (Exempted by Categories 1, 2, 3 and 7) (Pages 133 - 140)**

The report of the Principal Auditor is attached.

Contact: Katie Williams (01743) 257737